

4002-3429/PC845.00:290961

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Examiner:
Frey et al.)) Art Unit 3732
Serial No. 10/720,656) Art ollit 3732
Filed Nov. 24, 2003)) Atty Docket No. 4002-3429/PC845.00
DISTRACTION AND RETRACTION SYSTEM FOR SPINAL SURGERY)) July 8, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R § 1.56 and 1.98,

Applicant discloses the following references on the attached Information Disclosure

Citation Form.

This information has not been previously submitted in this application by Applicant. Copies of the foreign references indicated on the 1449 form are enclosed herewith. U.S. Patent references are not enclosed.

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Also enclosed is a copy of a search report from a counterpart PCT application No. PCT/US03/37729, which shall serve as a brief statement regarding the relevance of the foreign language references, except for EP 1 192 905, which is not listed on the search report. EP 1 192 905 is enclosed for the information contained in the drawings therein.

The filing of this information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56 or that any information cited is prior art.

This Statement is being submitted before the mailing of an Office Action on the merits. Therefore no fees are believed due for its consideration. The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted:

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Atty. Docket No. Serial No. INFORMATION DISCLOSURE CITATION 10/720,656 4002-3429/PC845.00 (Use several sheets if necessary) Applicant George Frey et al. Sheet 1 of 4 Filing Date Group November 24, 2003 3732 **U.S. PATENT DOCUMENTS** Filing Date DOCUMENT DATE NAME **SUBCLASS CLASS** *Examiner NUMBER If appropriate Initial 563,236 06/1896 Penhall 1 2 1,400,616 12/1921 McCrory et al. 3 2,661,735 12/1953 Darden 09/1962 Kobler 3,054,398 4 5 3,752,149 08/1973 Ungar et al. 3,788,318 1/1974 Kim et al. 6 7 4,263,899 04/1981 Burgin 4,545,374 10/1985 Jacobson 8 10/1986 9 4,616,635 Caspar et al. 4,716,901 1/1988 Jackson et al. 10 5/1988 Watanabe 11 4,747,394 4,747,395 05/1988 Brief 12 8/1988 Kulik et al. 4,765,311 13 4,817,587 4/1989 Janese 14 08/1989 Chaux 15 4,852,552 4,899,729 2/1990 Gill et al. 16 5,027,793 07/1991 Englehardt et al. 17 5,052,373 10/1991 Michelson 18 19 5,125,396 6/1992 Ray 5,139,511 8/1992 Gill et al. 20 FOREIGN PATENT DOCUMENTS Translation DOCUMENT NUMBER COUNTRY DATE **CLASS** SUBCLASS Yes No X EP1 192 905 9/2001 EP 76 X 07/1987 DE 77 DE 87 04 901 U

* Examiner: initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner

Date Considered

INFORMATION DISCLOSURE CHA Atty. Docket No. Serial No. 10/720,656 4002-3429/PC845.00 (Use several sheets if necessary) Applicant George Frey et al. Sheet 2 of 4 Filing Date Group November 24, 2003 3732 **U.S. PATENT DOCUMENTS** Filing Date DOCUMENT DATE NAME **SUBCLASS CLASS** *Examiner If appropriate NUMBER Initial 21 5,158,545 10/1992 Trudell et al. 5,163,949 11/1992 Bonutti 22 3/1993 Bonutti 23 5,197,971 5/1994 Wilk 5,312,417 24 5,339,803 8/1994 Mayzels et al. 25 10/1994 Nady-Mohamed 26 5,353,784 27 5,389,080 02/1995 Yoon 5,490,819 2/1996 Nicholas et al. 28 4/1996 Jako 29 5,503,617 4/1996 30 5,509,893 Pracas 4/1996 O'Neal et al. 31 5,512,038 5,549,595 8/1996 Freitas 32 11/1996 Bonutti et al. 33 5,573,517 4/1997 Caspar et al. 34 5,618,260 35 5,674,240 10/1997 Bonutti et al. 5,667,481 9/1997 Villalta et al. 36 10/1997 Maeda et al. 37 5,681,265 Rosendahl 11/1997 38 5,688,223 1/1998 Bufalini 39 5,707,359 5,728,046 3/1998 Mayer et al. 40 FOREIGN PATENT DOCUMENTS

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